

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT
22388 U.S. PTO
10/675828



In re Application of: : Atty Docket: POU920030132US1
John K. ALEX *et al.* : APPLICATIONS BRANCH
Serial No. (not yet assigned) :
Filed: HERewith :

For: *POLICY DRIVEN AUTONOMIC COMPUTING - SPECIFYING RELATIONSHIPS*

CERTIFICATE OF EXPRESS MAIL MAILING

"Express Mail" Mailing Label No. **EV343426394US**

Date of Deposit: **September 30, 2003**

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SIR:

I hereby certify that

- ☒ Application Transmittal
- ☒ Fee Transmittal Form
- ☒ Specification, Claims, Abstract
- ☒ 1 set of 11 sheets of drawings
- ☒ Declaration and Power of Attorney
- ☒ Information Disclosure Statement and Form PTO-1449
- ☒ Assignment Recordation Sheet with Assignment
- ☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to:

MS Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

9/30/03
Date of Deposit

Karen Taragowski
Name of person mailing papers

Karen Taragowski
Signature

UTILITY PATENT APPLICATION TRANSMITTAL

ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Transmitted herewith for filing is the patent application of:

INVENTOR(S): John K. ALEX, Peter R. BADOVINATZ, Reinhard BUENDGEN, Chun-Shi CHANG,
Gregory D. LAIB, Rong-Sheng LEE, Jeffrey S. LUCASH, Thomas LUMPP, and
Juergen SCHNEIDER

TITLE: *POLICY DRIVEN AUTONOMIC COMPUTING - SPECIFYING RELATIONSHIPS*

ASSIGNEE INFORMATION PURSUANT TO 37 C.F.R. 1.76

ASSIGNEE NAME: INTERNATIONAL BUSINESS MACHINES CORPORATION

ASSIGNEE RESIDENCE: ARMONK, NEW YORK


In connection with this application, the following are enclosed:

- XX Fee Transmittal Form
- 50 Pages of Specification, Claims and Abstract
- 24 Claims
- 11 Sheets of Drawings (FIGS. 1-14)
- XX Declaration and Power of Attorney
- XX Information Disclosure Statement and Form PTO-1449
- XX Assignment recordation sheet with assignment to: INTERNATIONAL BUSINESS MACHINES CORPORATION
- XX Please direct all correspondence to **Customer Number 23334**.

Respectfully submitted,

FLEIT, KAIN, GIBBONS, GUTMAN,
BONGINI & BIANCO P.L.
One Boca Commerce Center
551 Northwest 77th Street, Suite 111
Boca Raton, FL 33487
(561) 989-9811
(561) 989-9812 Fax

BY:


Jose Gutman
Reg. No. 35,171

FEE TRANSMITTAL

Transmitted herewith for filing is the patent application of:

INVENTOR(S): John K. ALEX, Peter R. BADOVINATZ, Reinhard BUENDGEN, Chun-Shi CHANG,
 Gregory D. LAIB, Rong-Sheng LEE, Jeffrey S. LUCASH, Thomas LUMPP, and
 Juergen SCHNEIDER

TITLE: POLICY DRIVEN AUTONOMIC COMPUTING - SPECIFYING RELATIONSHIPS

The fee has been calculated as shown below. (Small entity fees indicated in parentheses.)

For	Number Filed		Number Extra	Rate Large (Small)	Basic Fee \$750 (\$375)
Total Claims	24	20	4	\$18 (\$9)	72
Independent Claims	5	3	2	\$84 (\$42)	168
Multiple Dependent Claims				\$280 (\$140)	0
Assignment Recording Fee				\$40	40
				TOTAL FEE:	\$ 1,030

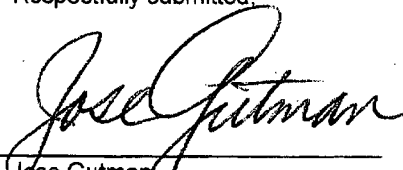
XX The Commissioner is hereby authorized to charge Deposit Account No. 09-0463 in the amount of \$1030. A duplicate copy of this sheet is enclosed.

XX The Commissioner is hereby authorized to charge payments of (1) any additional filing fees required under 37 CFR 1.16, and/or (2) any patent application processing fees under 37 CFR 1.17 associated with this application or credit any overpayment to Deposit Account No. 09-0463.

Respectfully submitted,

FLEIT, KAIN, GIBBONS, GUTMAN,
 BONGINI & BIANCO P.L.
 One Boca Commerce Center
 551 Northwest 77th Street, Suite 111
 Boca Raton, FL 33487
 (561) 989-9811
 (561) 989-9812 Fax

BY:


 Jose Gutman
 Reg. No. 35,171

10/07/2003 AOSMAN1 00000024 090463 10675828
 Sale Ref: 00000059 DAB: 090463 10675828
 01 FC:1001 750.00 DA
 02 FC:1201 168.00 DA
 03 FC:1202 72. DA